

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 24 February 2021

My Ref:

Your Ref:

Committee:
Audit Committee

Date: Thursday, 4 March 2021

Time: 1.30 pm

Venue: THIS IS A VIRTUAL MEETING - PLEASE USE THE LINK ON THE
AGENDA TO LISTEN TO THE MEETING

Members of the public will be able to listen to this meeting by clicking on this link:

www.shropshire.gov.uk/AuditCommittee4March2021

Please note that this meeting will be made available through Microsoft Teams Live Events
- your device will need to meet the minimum specification as detailed on the Microsoft
website at this link: [Device Specification](#)

- You will need to download MS Teams (free) and click on the link to listen to the meeting if you are using a PC
- If using a mobile device, you will need to download the MS Teams app (free) before clicking the link
- Use the link at 1.30 pm on the day of the meeting and click on 'Join as Guest'
- You may receive an error message or a request for login details if you try to gain access before 1.30 pm

You are requested to attend the above meeting.

The Agenda is attached

Claire Porter

Director of Legal and Democratic Services (Monitoring Officer)

Members of Audit Committee

Peter Adams (Chairman)

Ioan Jones

Chris Mellings

Brian Williams (Vice Chairman)

Michael Wood

Your Committee Officer is:

Michelle Dulson Committee Officer

Tel: 01743 257719

Email:

michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

3 Minutes of the previous meetings held on the 10 December 2020 and 11 February 2021 (Pages 1 - 10)

The Minutes of the meetings held on the 10 December 2020 and 11 February 2021 are attached for confirmation.
Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 1.30pm on Tuesday 2 March 2021.

5 Members Questions

To receive any questions of which Members of the Council have given notice. The deadline for notification for this meeting is 1.30pm on Tuesday 2 March 2021.

6 First line assurance: Pensions Payroll interfacing update (Pages 11 - 14)

The report of the Head of Workforce and Organisation Development is attached.
Contact: Sam Williams (01743) 252817

7 Second line assurance: Strategic Risks update (Pages 15 - 20)

The report of the Risk and Insurance Manager is attached.
Contact: Angela Beechey (01743) 252073

8 Governance Assurance: Draft Audit Committee annual work plan and future training requirements (Pages 21 - 48)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski (01743) 257739

9 Third line assurance: Report of the Audit Review of Risk Management (Pages 49 - 52)

The report of the Principal Auditor is attached.
Contact: Katie Williams (01743) 257737

10 Third line assurance: Internal Audit performance report and revised Annual Audit Plan 2020/21 (Pages 53 - 70)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski (01743) 257739

11 Third Line Assurance: Draft Annual Internal Audit risk based plan 2021/22 (Pages 71 - 82)

The report of the Head of Audit is attached.
Contact: Ceri Pilawski (01743) 257739

12 Third line assurance: External Audit, Audit progress report and sector update (Pages 83 - 100)

The report of the Engagement Lead is attached.
Contact: Grant Patterson (0121) 232 5434

13 Third line assurance: External Audit, Annual Audit Letter 2019/20 (Pages 101 - 118)

The report of the Engagement Lead is attached.
Contact: Grant Patterson (0121) 232 5434

14 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 24 June 2021 at 1.30 pm.

15 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

16 Exempt minutes of the previous meeting held on 10 December 2021 (Pages 119 - 124)

The Exempt Minutes of the meeting held on the 10 December 2020 are attached for confirmation.
Contact Michelle Dulson (01743) 257719.

17 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 125 - 128)

The report of the Principal Auditor is attached.
Contact: Katie Williams (01743) 257737